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A method for comparing the safety and sustainability of the processing of residual flows

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Colophon

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T.P. Traas (author), RIVM
P.G.P.C. Zweers (author), RIVM
J.T.K. Quik (author), RIVM
S.L. Waaijers (author), RIVM
J.P.A. Lijzen (author), RIVM

Contact:
Johannes Lijzen
RIVM-DMG
johannes.lijzen@rivm.nl

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Synopsis

Towards sustainable recycling
A method for comparing the safety and sustainability of the processing of residual flows

One of the main elements of the European Green Deal is the Circular Economy Action Plan. An important component of this plan is to landfill or incinerate less waste and to reuse or recycle it as much as possible. However, this must be done in a manner that is safe for humans and the environment. RIVM is proposing a method for comparing the safety and sustainability of different ways of processing waste materials. By using such methods, policymakers and waste processors can make well-considered choices with regard to new forms of waste processing.

The method consists of six steps. In these steps, consideration is given to the risk of hazardous substances that may be present and the environmental benefit of the waste processing. The substances involved include Substances of Concern (SoC; or ZZS in Dutch) and can be extended to pathogens, residues of medicines, and pesticides.

Step 1 collects information about the hazardous substances present. Step 2 looks at the options available for processing the waste. Step 3 checks whether the concentrations of the substances do not exceed limit values. If that is the case, then a risk analysis is carried out in Step 4 to determine whether humans, or the environment, can be exposed to the substance during the further processing and use. Step 5 calculates the quantity of energy (and associated CO₂) that is saved and to what degree land use would be affected if the material were to be recycled. The last step compares the various options with one other.

RIVM provides recommendations for improvements and additions to this proposal. The method has already been worked out in more detail with regard to the risks presented by SoC; the other associated risks related to other SoC have also already been taken into account. For this purpose, the databases containing information on the components of products and materials, and on environmental footprints, also need to be updated and expanded. To make this feasible, further testing and development with European partners from the waste processing chain and policymakers is recommended.

Keywords: safety, recycling, circularity, sustainability, Substances of Concern, SoC, risk assessment, Waste Framework Directive
Publiekssamenvatting

Naar duurzame recycling
Methodiek voor het vergelijken van veiligheid en duurzaamheid van de verwerking van reststromen

De Europese Green Deal is bedoeld om Europa klimaatneutraal te maken in 2050. Een belangrijk onderdeel is minder afval storten of verbranden, en het zo veel mogelijk hergebruiken of recyclen. Dat moet wel veilig zijn voor mens en milieu. Het RIVM stelt een methode voor om te kijken hoe veilig en duurzaam verschillende vormen om afval te verwerken zijn. Door de resultaten te vergelijken kunnen beleidsmakers en afvalverwerkers goed doordachte keuzes maken over nieuwe vormen van afvalverwerking.

De methode bestaat uit zes stappen. Daarin wordt gekeken naar het risico van eventueel aanwezige schadelijke stoffen en de winst van de afvalverwerking voor het milieu. Het gaat om Zeer Zorgwekkende Stoffen (ZZS), maar ook om ziekteverwekkers, resten van medicijnen en bestrijdingsmiddelen.

Stap 1 verzamelt informatie over aanwezige schadelijke stoffen. Stap 2 bekijkt welke mogelijkheden er zijn om het afval te verwerken. Stap 3 controleert of de stoffen niet boven de gestelde maximale concentraties uitkomen. Als dat wel zo is, wordt in stap 4 met een risicoanalyse bepaald of mensen of het milieu aan de stof kunnen worden blootgesteld tijdens de verdere verwerking en gebruik. Stap 5 geeft aan hoeveel energie (en daarmee CO₂) het bespaart en in welke mate landgebruik vermindert als het materiaal wordt gerecycled. De laatste stap vergelijkt de mogelijkheden met elkaar.

Het RIVM geeft aanbevelingen voor verbeteringen en aanvulling op deze eerste uitwerking. De methode is nu uitgewerkt voor de risico’s van ZZS, maar in de opzet is al rekening gehouden met andere genoemde risico’s. Ook moeten hiervoor de databases met informatie over de bestanddelen van producten en materialen en voor duurzaamheidsberekeningen worden uitgebreid. Om dit praktisch mogelijk te maken, is het gewenst de methode verder te testen en ontwikkelen met Europese partners uit de afvalketen en beleidsmakers.

Kernwoorden: recycling, veiligheid, duurzaamheid, zorgwekkende stoffen, risicobeoordeling, Europese Kaderrichtlijn Afvalstoffen
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Summary

One of the main elements of the European Green Deal (Commission 2019) is the Circular Economy Action Plan. This action plan aims to support actors in circular value chains and regulator to save valuable resources, thus contributing to a reduced climate impact. The ambition of Europe’s Chemicals Strategy for Sustainability is also to move towards toxic-free material cycles where Substances of Concern (SoC) are minimised in products and recycled materials. To support these various ambitions, it is important that valuable resources are kept safely in the loop and that the negative impacts caused by hazardous chemicals in recyclates are prevented. To help actors in supply chains to make informed choices on the safety and sustainability of different waste treatment scenarios, it is important that potential trade-offs are made visible. We propose easy-to-apply methods to facilitate this. These methods can be further developed leading to an integrated assessment of sustainability benefits on the one hand (such as effects on climate change and land use), and human and environmental health impacts on the other hand. Such an assessment framework should also help recyclers and regulators to define criteria and boundary conditions for safe and sustainable recycling.

In this report we present an assessment framework, which is based on experience gained from the current practice of dealing with SoC in existing waste streams; this framework is intended to be generally applicable to assess options for waste treatment and recycling. Six steps are described which, depending on the outcome, can be repeated. In Step 1 information on SoC is collected and, in Step 2, available waste treatment options are identified. In Step 3 the SoC contents are assessed and compared to known thresholds. If the SoC are above thresholds, a risk analysis is carried out in Step 4 to determine if the SoC could lead to human or environmental exposure and risks during further processing and use, including the next use phase. In Step 5 a sustainability analysis specifies what could be gained by the reduced use of primary materials, the CO2-emissions which are prevented and the reduced (impact on) land use. In Step 6 the positive and negative impacts of different options for waste treatment and further use are compared, in order to draw conclusions on the preferred options. Two case studies are then explored to demonstrate the results of the assessment method described here: HBCDD in expanded polystyrene boards and rubber granules infill for use on synthetic turf fields. The case studies demonstrate that the risk analysis is very case dependent. In complex cases such as the ELT case, no definitive conclusion could be drawn in regard to the lower tiers. Data availability on the SoC content in recycled materials is critical, because lack of data will often lead to an inconclusive assessment of the lower tiers of the SSML. The case studies also demonstrate that the benefit of the contribution towards a circular economy can be weighted in recycling options. The example of HBCDD in secondary EPS illustrates that sustainability gains strengthen the conclusion to favour recycling; measures for potential improvements can also be identified.
To develop the proposed methodology further towards a general framework, several improvements are recommended to enable some methodological and practical hindrances to be overcome. First, the sustainability and safety assessments should be elaborated. From a lifecycle perspective, appropriate circularity indicators and simple sustainability assessment methods should be further developed. In addition to SoC, the scope could be widened to include biological risks and other contaminations, like pharmaceuticals and pesticides, if relevant to the case. Multiple substance risk assessment should also be taken into account.

Secondly, databases (e.g. SCIP-database, sectoral waste treatment plans) are very important for the assessments and should be extended to fit data requirements needed for an integral approach. When thresholds and safety levels in materials or products are missing, these should be derived to make the methodology easy to apply for screening purposes.

Thirdly, participation and cooperation within the supply chain is essential to apply and improve the proposed methodology and to share the essential data. Part of this cooperation should involve the application of this presented methodology in more case studies in order to strengthen the methodology, learn how to improve information exchange, extend supply chain responsibility and to map value chain and sector-specific sustainability hotspots.
1 Introduction

1.1 European context
One of the main elements of the European Green Deal (European Commission, 2019) is the new Circular Economy Action Plan for a Cleaner and More Competitive Europe (European Commission, 2020b). In this new Action Plan initiatives along the entire life cycle of products have been announced, which aim to ensure that the resources used are kept in the EU economy for as long as possible. It targets, for example, their design, promoting circular economy processes, fostering sustainable consumption. The new Action Plan also stimulates circularity in production processes, focusing on specific product value chains. In an earlier communication on the ‘interface between chemical, product and waste legislation’ (European Commission, 2018b), the Commission also identified several problems encountered with the objective to close material loops. Ultimately, products should be safe and sustainable-by-design and, after the use phase(s), these products should be processed into safe and sustainable recycled materials and products. Because Europe wants to move towards toxic-free material cycles, the use of Substances of Concern (SoC) should be minimised in products and recycled materials (European Commission, 2020a). Legacy substances that are no longer allowed in new products may, however, seriously hamper recycling. For the recycling of existing waste streams with legacy substances or other SoC, the European Commission mentions that an overall positive environmental and climate performance should be ensured (European Commission, 2020a). This report presents a method about how this could be achieved for SoC in waste streams.

The ambition to recycle as much as possible may conflict with the aim to prevent adverse environmental or health impacts if the presence of SoC is not recognised, assessed and properly managed within a circular economy. In 2016, in its conclusions on the first action plan ‘Closing the Loop’, the Council of the European Union was already calling upon the Commission to develop, in cooperation with the Member States, ‘a methodology to determine whether recycling, recovery or disposal provides the best overall outcome to achieve both non-toxic material cycles and increased recycling rates, while respecting the existing high level of protection of human health and the environment and taking into account the precautionary principle’ (Council of the EU, 2016). In the recent chemicals strategy for sustainability (European Commission, 2020a), the Commission stated its ambition to develop methodologies for chemical risk assessment that take into account the whole life cycle of substances, materials and products.

1.2 Goals and scope
To enable the safe and sustainable recycling of waste streams it is important to obtain insight into the safety and sustainability of various recycling options. The goal of this report is to outline a methodology that allows for a comparison of various waste treatment options which integrates safety and sustainability aspects. The comparison of treatment options focusses on the way that risks presented by SoC can
be prevented or mitigated, while still ensuring an overall positive environmental performance from a life-cycle perspective. The methodology can contribute to the goals of a circular economy as well as protect environmental and human health by facilitating the making of informed decisions.

This report focusses on the downstream part of product or material life cycles, including the extended use and recycling of materials. Important questions are: how safe are various waste treatment methods with regard to SoC and other hazards in the recycled materials and products made thereof, and what is the sustainability benefit of the various alternative waste treatment methods compared to the linear production (discarded materials and consumption of virgin resources). The use of recycled material should try to maximise the sustainability benefits with regard to climate change and overall environmental impact, while avoiding the adverse impacts of SoC and other impacts on environmental or human health. This is how ‘overall positive environmental and climate performance’ is defined in this report.

In this report we present an assessment framework called ‘Safe and Sustainable Material Loops’ (SSML, (Quik et al., 2019). This framework is based on experience gained in the current practice of dealing with SoC in existing waste streams, and is meant to be generally applicable to assess waste treatment and recycling in terms of safety and sustainability. The quality of secondary materials is influenced by the presence of SoC, but also other factors such as the presence of pathogens, antibiotics, pharmaceuticals etc. The methodology also includes these factors, but in this report we focus on the safety related to SoC. This should help regulators to define boundary conditions for safe and sustainable recycling.

1.3 **Substances of Concern**

Various categories of chemicals that are ubiquitous in man-made materials and products such as stabilisers, pigments, softeners or flame retardants, may be present in different consumer or industrial waste streams (Bodar et al., 2018). Reuse and recycling become challenging when substances, materials and products contain hazardous substances such as Substances of Very High Concern (SVHC) according to Regulation (EC) 1907/2006 (REACH), persistent organic pollutants (POPs) according to the Stockholm Convention, or other SoC. In fact, apart from SVHC and POPs, waste may also contain a legacy of other substances that can cause secondary materials to be unsafe, such as various heavy metals, pharmaceutical residues and pathogens. This category of SoC as introduced in the Commission working document (European Commission, 2018a) has not yet been defined.

In this report we focus on a category of SoC that has specific regulatory attention in the Netherlands as a specific list of SoC: ZZS (abbreviation of ‘zeer zorgwekkende stoffen’). These ZZS have the characteristics of SVHC, and include compounds which are not on the EU lists. The presence of such ZZS (and other compounds or hazards) can be a hindrance to a circular economy and can lead to problems if new
products or recycled materials still containing ZZS are not safe to use (‘regrettable recycling’). Therefore the risk of adverse environmental or human-health impacts and the benefits of a more sustainable use of resources should be analysed together.

From a full life cycle perspective it should be ensured that recycling technologies have an overall positive environmental and climate performance. An assessment method should make the sustainability benefits of recycling transparent and make clear that the risks presented by SoC to humans and the environment are controlled (or low). This should lead to a comparison of options for recycling, reducing concentrations and risks of SoC, improve the quality of the regained materials and thereby optimise sustainability benefits.

The main elements of the framework will be outlined in Chapter 2. Chapter 3 details the main steps for a first tier assessment. Chapter 4 shows the application of the framework for the recycling of expanded polystyrene boards containing HBCDD and for the recycling of granulated rubber tires (containing various SoC, e.g. polyaromatic hydrocarbons and zinc). Chapter 5 evaluates the merits of the framework and provides recommendations for further development.
2 Main elements of the methodology

This chapter outlines the six main elements of the proposed methodology for comparing various waste treatment and recycling options with regard to sustainability in general and the prevention of the human and environmental risks, and other adverse impacts, presented by SoC. We focus on the human and environmental risks of substances coming from the recycling process, in the second use phase and of future recycling and use.

First we define the scope of the methodology in relation to the life cycle of products and detail strategies for intervention when moving to a circular economy (Section 2.1). This is followed by an introduction of six consecutive steps of the methodology which can be repeated if needed (Section 2.2).

2.1 Closing material loops

The European Commission noted that a growing number of chemical substances are becoming subject to restrictions or prohibitions, because of health or environmental concerns (European Commission (2018a)). SoC or ZZS (as defined in Section 3.1) will still be present in waste streams for the coming decades, and efficient methods are needed to assess the risks presented by SoC under various waste treatment scenarios, with the aim of achieving toxic-free material cycles. This also applies to other regulated substances and other potential risks (e.g. pathogens or radiation). During the transition to a circular economy, adverse effects of these hazards on human health and the environment caused by increased recycling and reuse of materials and products should be avoided. The goal is to ensure that risks of SoC are negligible, and if not, can be controlled by specific measures. If additional waste treatment is needed, this should be taken into account in the sustainability assessment of the method to ensure an overall positive environmental and climate performance.

Different resource reduction strategies that can be followed may have an impact on SoC in waste streams ((Beekman et al., 2020). Smart use and design of products (R0-R2 in Figure 2.1) will slow down the rate at which SoC enter material cycles. A ‘Safe by Design’ approach can be followed, which means that known SoC are no longer used in production (European Commission, 2020b). However, dealing with safety (in relation to the SoC) in recycling is necessary both now and in the future for a variety of reasons.

- Legacy: although SoC are prohibited or severely restricted on the market, they will still be present in products and waste streams.
- Essential uses: SoC may still be needed because of a specific functionality and replacement takes time due to technological and chemical challenges. For instance, SVHC under REACH can be authorised for use in specific spare parts for refurbishment or repair (strategies R3-R7 in Figure 2.1).
- Unknown SoC: the harmful effects of chemicals may become (better) known after a substance is introduced, as knowledge on
hazards and exposure increases, i.e. substances not yet suspected may become SoC at some point in time.

- Changes in SoC use: because of jumps in innovation, technological progress and societal change, changes in supply and demand for chemicals can strongly influence the production of SoC.

![Diagram of waste treatment scenarios](source)

**Figure 2.1** Classification of approaches to reduce primary material and resource use, from high (R0) to low (R9) reduction in resource use or contribution to the circular economy (Potting et al., 2018).

Various waste treatment scenarios (Strategy R8-R9 in Figure 2.1) are possible to ensure that a recovered stream containing a specific or several SoC, or other hazardous components, can still be used safely. For example, a waste stream can be used for all-purpose use, for specific uses, or cannot be re-used anymore. It is essential to define these treatment scenarios, including assumptions and the scope of the assessment. This should be based on knowledge of the life cycle of the regained materials and their uses, such that a targeted sustainability analysis can be performed. Finding data on both ZZS and sustainability elements of various waste treatment options can be time-consuming and an in-depth analysis may not always be needed to support decisions. Thus a tiered workflow for the safe and sustainable material loops (SSML) framework was proposed (Quik et al., 2019). This means 'simple when possible, more thorough when necessary’. For each higher tier, more detailed information is required, but a tier is only useful if the previous tier does not provide a clear answer. The information requirements for a tiered approach are based on the content of the SoC in the recovered materials, information on the foreseen and intended
uses, and the data to calculate the expected sustainability benefits of the alternative use options.

2.2 Risk and sustainability analysis

The methodology to compare safe and sustainable recycling options is shown in six steps for ease of presentation. Figure 2.2 outlines the stepwise assessment based on Zweers et al. (2018) and (Quik et al., 2019). The main goals of the methodology are to provide:

- Transparency in relation to the available information on chemical risks and sustainability benefits for the various waste treatment options.
- A simple method where possible (e.g. if SoC content in materials or environmental media is below relevant thresholds, no further analysis would be needed), and more detailed where needed.
- A way to gather, analyse present and compare information on the trade-offs of the various treatment options and associated uses and applications.

The six steps are described in more detail in Chapter 3. Depending on the outcome, several steps can be repeated, e.g. if more information needs to be collected or additional waste treatment options may need to be considered.

![Figure 2.2 Stepwise assessment for the safe and sustainable waste treatment of existing and new product chains](image)

1. Information on Substances of Concern (SoC)

The first step is to gather relevant information on the possible presence of single or multiple SoC in materials (or products) in order to determine whether the SoC content in a waste stream needs further risk analysis. Mixture toxicity has not yet been taken into account.
2. **Information on Waste treatment options**
When the SoC content triggers further analysis, available treatment options will be identified. If a certain technique is commercially available, then this is considered to be proof of technical and economic feasibility. All available waste treatment options can be taken into account at this stage.

3. **Threshold assessment**
If the SoC content of waste streams before treatment is below specific thresholds (see Section 3.2), no further analysis is usually needed. If the SoC content is above specific thresholds, the risk analysis is carried out. The heterogeneity of the waste stream can make the SoC-content difficult to determine. It might be easier to check whether thresholds are met after pre-treatment, as the material will be more homogenous then.

4. **Risk analysis SoC**
This step gives a general indication, for each of the relevant options of waste treatment, and further use as a secondary material, whether the presence of SoC could lead to human or environmental exposure and present risks during further processing and use, including the next waste stage. Steps 4 and 5 may need to be repeated when risk reduction measures are taken, before it can be demonstrated that risks with respect to SoC content are adequately controlled.

5. **Sustainability analysis**
The sustainability analysis should specify, for each of the relevant options of waste treatment, and further use as a secondary material, what can be gained in terms of the reduced use of primary materials, the prevention of CO₂ emissions, the reduced (impact of) land use, etc. This could also be done in parallel with Steps 3 and 4.

6. **Comparison of options**
The outcome of the analyses for each of the different options for waste treatment, and further use as a secondary material, will be compared in order to draw a conclusion on preferred options.
3 Risk and Sustainability Analysis

This chapter details each of the six steps of the methodology, and discusses possible choices regarding the scope of the assessment, criteria to use and sources of information.

3.1 Step 1: Information on Substances of Concern

The first step is to gather relevant information on the SoC content of waste streams, recycled materials or products. The category of the SoC, as introduced in the Commission working document (European Commission, 2018a) has not yet been defined. The first focus on SoC in this report (Section 3.1 to 3.4) is on ZZS (‘zeer zorgwekkende stoffen’ in Dutch, translated as ‘substances of high concern’). The concept of ZZS is used in the Netherlands in a national context to connect international chemicals policy with regulations for industrial emissions. ZZS cover a much broader range than the current substances of very high concern (SVHC) under REACH (Figure 3.1), but only include substances which fulfil at least one of the hazard criteria of REACH Article 57:

- Carcinogenic category 1A or 1B according to Regulation 1272/2008/EC.
- Mutagenic category 1A or 1B according to Regulation 1272/2008/EC.
- Toxic for reproduction category 1A or 1B according to Regulation 1272/2008/EC.
- Persistent, Bioaccumulative and Toxic in accordance with the criteria set out in REACH Annex XIII.
- Very Persistent and Very Bioaccumulative in accordance with the criteria set out in REACH Annex XIII.
- Substances for which there is scientific evidence of probable serious effects on human health or the environment which give rise to an level of concern equivalent to the criteria listed above.

RIVM has compiled a non-limitative list of these substances which is updated twice a year. Currently this ZZS list contains over 1,500 substances (Herwijnen, 2013; RIVM, 2018). Only a proportion of these substances is already regulated by specific chemical legislation. The ZZS list covers in particular:

- Substances on the Candidate list;¹ these substances have been identified as Substances of Very High concern (SVHC) and are candidates for authorisation (REACH Annex XIV).
- Substances listed in Annex IV of the POP Regulation (EU) 2019/1021 (850/2004/EC was repealed).
- Priority Hazardous Substances according to the Water Framework Directive 2000/60/EC.
- The OSPAR list of substances for priority action.

The ZZS in this list can be categorised in different classes (e.g. according to functionality, origin or chemical structure). The Dutch ZZS policy focuses on the minimisation of emissions from ZZS. This can be

¹ SVHC-list: [https://echa.europa.eu/nl/candidate-list-table](https://echa.europa.eu/nl/candidate-list-table)
done by minimising or preventing emissions, or by substituting the ZZS with less harmful alternatives.

Other substances can also be of concern in addition to ZZS. For instance, for metals such as zinc or copper, environmental quality standards have been set, and these metals can be relevant for assessing waste treatment options. However, they do not fulfil the criteria of REACH Article 57, are not listed in the above-mentioned substance lists, and have not yet been integrated in the methodology presented in this report. The same applies to other SoC which are not on the NL ZZS list, such as pharmaceutical residues and pathogens.

Figure 3.1 Illustration of the chemical subsets 'Substances of Concern' (SoC), ZZS as defined in the Netherlands (substances fulfilling criteria of REACH Article 57) and SVHC identified under REACH.

In Step 1, relevant information on the source, application and quality of a given waste or material stream is collected by addressing the following questions:

- Can ZZS be expected to occur, given the origin and functionality of the original material streams or products; e.g. heavy metals, plasticisers, stabilisers, flame retardants, pigments, pesticide residues, etc.?
- Can ZZS be expected as part of the material stream or products, e.g. components in mineral oils such as PAHs?
- Is it possible to distinguish and separate ZZS-containing streams from ZZS-free streams?

Chemical analysis or some form of monitoring may be required for certain streams that are expected to contain concentrations of ZZS (e.g. the flame retardant HBCDD in EPS foam), or in recovered materials that are used where human exposure needs to be excluded, e.g. consumer exposure (food packaging, cosmetics) or children’s toys. There is a difference between the analytical methods which are suitable for determining levels of ZZS in (homogenous) product streams and those that are used for sorting waste streams containing different levels of contamination (the exact concentration is less important when sorting).
If chemical analysis data are available, the following additional questions should be addressed:

- Are ZZS being measured in daily operations at industrial scale, and if so, how is the analysis done and what levels of ZZS are detected in this way?
- What are the typical concentrations for the expected ZZS? The analysis should preferably be done according to existing EU standards and methods.

The collection of information on the presence of ZZS in waste streams is under development (Wassenaar *et al.* (2017). In the context of industrial emissions, an expert-judgement based tool called the 'ZZS navigator' has been developed in the Netherlands (RIVM, 2018). The tool screens for (potential) environmental releases of ZZS to water or air, based on the type of (industrial) activities and makes a distinction between use and emission. This could be extended to SoC in material streams and might also be useful on a European scale. Furthermore, in the context of the Netherlands’ National Waste Management Plan, an inventory was made of the ZZS that may be present in each type of waste identified in the plan (Hofstra, 2019).

Information can be found on presence of SVHC in articles² on the ECHA website. In addition, as prescribed by the revised Waste Framework Directive 2008/98/EC, in January 2021 a database hosted by ECHA was made operational which contains notifications from suppliers of articles which have an SVHC content of above 0.1%. The SCIP database (ECHA, 2020) lists information on SVHC in articles and in complex objects (products). These databases should help to generate the data needed to be able to assess the recycling of waste stream. Whether this will be sufficient data to assess whether the recycling is safe is not yet clear.

### 3.2 Step 2: Information on waste treatment options

First of all, it is considered whether there are any technical methods for removing or separating SoC from the waste streams (see also Figure 3.3, Block 1.1) or separating the ZZS-containing waste from the ZZS-free stream. If these methods are operational, the best available technique (BAT) is preferred if it is practically and logistically possible and sufficient processing capacity is available (Block 1.2). If all this is in the affirmative, these methods should be applied to reduce ZZS concentrations as much as possible and at least below specific limit values before new materials are produced from the waste stream.

If the ZZS can technically be removed but the operational capacity falls short, the feasibility of extending the capacity should be considered, to establish the economic feasibility (Block 1.3). If the available capacity is at a relatively large distance away from the source of the waste stream, entailing additional energy consumption and costs for transport, investing in removal capacity which is nearer can be a solution. Similar considerations apply to incineration facilities. More details on how the relevant considerations can be taken into account in determining whether removal of ZZS is feasible, can be found in Zweers *et al.* (2018) and Quik *et al.* (2019).

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In the EU, the Waste Framework Directive uses a hierarchy of waste treatment options, striving for the highest quality possible (Figures 1.1 and 2.1). New options for waste treatment, such as better fractionation (sorting) or chemical recycling, open up new possibilities for waste streams containing ZZS or SoC. Additional effort and energy is needed to recycle waste streams rather than use disposal options of hazardous waste (e.g., incineration or landfilling), if that is possible from a risk perspective and is legally permitted. This should pay off in terms of a reduced impact on health and the environment. The available alternative treatment options, depending on the type of waste stream, should be compared in terms of safety and sustainability gains.

3.3 Step 3: ZZS threshold assessment

To determine whether a risk analysis is needed, the ZZS content of the recovered material intended for reuse (possibly after waste processing if it removes or reduces the ZZS content) should be compared to relevant limit values, Figure 3.2. A generic ZZS threshold has been proposed of 0.1% (by weight) (Zweers et al., 2018), based on the threshold in the REACH and CLP legislation. This level applies to the incoming waste stream and the recovered material. Heterogeneity of the waste stream can make it difficult to determine the SoC-content. The check to see if threshold limits are met might be easier after pre-treatment, because the material will be more homogenous. This generic threshold can be high in relation to risks depending on the substance and the use of materials. Therefore, lower limit values apply or can be used in specific cases:

- As specified in Annex VI of the CLP Regulation.
- As specified in Annex IV of the POP Regulation.
- As prescribed by specific legislation for the foreseen new application(s), e.g. food contact materials, toys and cosmetics directives (Zweers et al., 2018).

The methodology was developed to assess whether waste treatment could be allowed via a permit, as is required in Netherlands, but the same principles for assessing risks are applicable to recycling. Given the terminology of Figure 3.2, the waste stream indicates the envisaged recyclate (the waste stream after processing).

The position in the product-chain is relevant and determines the waste criteria or product-criteria that apply. For intermediate products, for example, product criteria might already apply.
Also the lower limit values for ZZS as specified in Annex VI of the CLP Regulation should be considered.

** More stringent limit values may be applicable dependent on the envisaged use, Figure 3.2 Flow diagram to determine whether a risk analysis should be performed in order to regulate the recovery of ZZS-containing waste streams or recovered materials (Zweers et al., 2018).

Preventing the unnecessary contamination of secondary materials by ZZS requires enhanced separated waste collection systems. Currently, ZZS-free and ZZS-containing waste streams become mixed e.g. by mechanical shredding. This means that ZZS contamination of specific materials become diluted in ‘post shredder’ streams. In this way, ZZS is contained in a larger volume waste stream at lower concentrations and, therefore, more difficult to extract. In addition, the produced secondary material may contain various ZZS (e.g. flame retardants, plasticisers, stabilisers, pigments etc.), which may limit its functionality and market value. On the other hand, efficiency may be a reason for separating waste streams after mixed collection. Optimal methods have to be selected, depending on the waste stream. This applies, for example, for...
window screens when shredded in the automotive industry. If the ZZS-containing products can easily be recognised, separate waste collection should be carried out, for example PCB-containing transformers.

3.4 Step 4: Risk analysis

The risk analysis step is depicted schematically in Block 2 of Figure 3.3, and worked out below for the ZZS-list compiled by RIVM (Block 1 is already explained in Section 3.2 on the waste treatment options). The risk analysis in Block 2 is carried out when removal of ZZS is feasible. A comparable approach can be applied to other SoC. Risks are calculated for the alternative treatment options, but they can also be estimated for the baseline scenario. Both scenarios can be compared.

Figure 3.3 Schematic representation of the risk analysis (Zweers et al., 2018).¹

Block 2 is sub-divided in three sections concerning: ZZS content and limit values (2.1); fixation of ZZS in the material (2.2); and traceability of the ZZS-containing material during the life cycle (2.3). Scores for these three sections are expressed as traffic light colours (i.e. green, orange or red) and combined in order to obtain an overall outcome of the risk analysis (see below).

3.4.1 Step 2.1 Are limit values applicable to the ZZS, given the foreseen use and are these limit values met?
- At first all relevant limit values that apply to the ZZS in the material with respect to the intended use application(s) are gathered (Table 3.1. gives an overview of relevant chemical legislation corresponding with use applications).
- Assess if and which relevant ZZS limit values are exceeded.
- Determine the consequences of exceeding the limit values.

¹ https://www.rivm.nl/bibliotheek/rapporten/2017-0168.pdf
When none of the relevant limit values are exceeded, Block 2.1 is scored ‘green’ and the analysis continues with Block 2.3. When no limit values are applicable to (or have been derived for) the ZZS, given its intended application, one should continue with Block 2.2. In that case, Block 2.1 will be scored ‘orange’, since it remains unclear whether the general concentration limit value of 0.1% (see Figure 3.2) is sufficiently protective. When a relevant limit value is exceeded, which results in a use restriction, Block 2.1 will be scored ‘red’. When a relevant limit value is exceeded that results in other, less restrictive measures (e.g. classification and labelling or notification), Block 2.1 will be scored ‘orange’. When the final score in Block 2.1 is ‘orange’ or ‘red’, one should continue with Block 2.2. The corresponding consequences of exceeding the limit value(s) will be taken into account when weighing all scores of Block 2.

3.4.2 Step 2.2 Are the ZZS fixed in the material matrix (i.e. is there limited exposure throughout the life cycle)?

With respect to Block 2.2 the potential exposure is established by addressing four questions:

1. Are the ZZS released during processing/recycling throughout the product life and at the end of the life phase? If the answer is yes or unknown Block 2.2 will be scored ‘red’. If the answer is no or limited, one should proceed with Question 2.

2. Is there a legislative framework for the intended application which provides migration or emission limits (e.g. food contact materials or construction materials)? If this is the case, the migration or emission should be analysed according to this legislation. If the ZZS migration/emissions meets the criteria laid down in the applicable legislation, Block 2.2 is scored ‘green’. Otherwise this block is scored ‘red’. If no migration or emission limits are applicable, one should proceed with Question 3.

3. Can existing measurement methods and criteria from adjacent legislative frameworks be used for the intended application? If any migration or emission limits have been derived, whether these can be applied for the intended use applications should be considered. If ‘Yes’, go back to Question 2. If ‘No’, proceed with Question 4.

4. Can it be assumed that the ZZS can be released from the material? For example, by evaporation (in the case of volatile substances), weathering or decay of the material (e.g. in the case of rubber), or during the processing of the material. This question is more from a theoretical perspective compared to Question 1. If ‘No’, Block 2.2 will be scored ‘green’. If the conclusion is that the release is limited (in the range of limit values of adjacent legislative frameworks), block 2.2 will be scored ‘orange’. If ‘Yes’, Block 2.2 will be scored ‘red’.
### Table 3.1 Overview of regulations containing concentration limit values or migration limit values relevant for ZZS.

<table>
<thead>
<tr>
<th>Regulations</th>
<th>Limit values</th>
<th>Consequences</th>
</tr>
</thead>
<tbody>
<tr>
<td>REACH restrictions</td>
<td>Varying (also &lt;0.1%)</td>
<td>Restriction in use</td>
</tr>
<tr>
<td>REACH candidate list</td>
<td>0.1% (for PBT/vPvB/C/M substances) 0.3% (for R substances) With a number of possible exceptions (e.g. see Annex II)</td>
<td>Notification and communication (and upon release) registration obligation</td>
</tr>
<tr>
<td>REACH authorisation list (conform CLP)</td>
<td>0.1% (for PBT/vPvB/C/M substances) 0.3% (for R substances) With a number of possible exceptions (e.g. see Annex II)</td>
<td>Authorisation must be applied for</td>
</tr>
<tr>
<td>CLP</td>
<td>0.1% (for PBT/vPvB/C/M substances) 0.3% (for R substances) With a number of possible exceptions (e.g. see Annex II)</td>
<td>Classification and labelling</td>
</tr>
<tr>
<td>POP regulation</td>
<td>Varying (also &lt;0.1%)</td>
<td>General restriction on production and placing on the market</td>
</tr>
<tr>
<td>Food contact material regulations</td>
<td>Varying (also &lt;0.1%)</td>
<td>Restriction in use</td>
</tr>
<tr>
<td>Toys Directive</td>
<td>Varying (also &lt;0.1%)</td>
<td>Restriction in use</td>
</tr>
<tr>
<td>Cosmetics Directive</td>
<td>Varying (also &lt;0.1%)</td>
<td>Restriction in use</td>
</tr>
<tr>
<td>RoSH Directive</td>
<td>0.1 or 0.01%</td>
<td>Restriction in use</td>
</tr>
<tr>
<td>NL Fertiliser act</td>
<td>Varying (expressed in mg/kg fertilizing ingredient)</td>
<td>Restriction in use</td>
</tr>
<tr>
<td>NL Soil Quality Decree</td>
<td>Varying (also &lt;0.1%)</td>
<td>Restriction in use</td>
</tr>
</tbody>
</table>

*4 REACH restrictions
*5 REACH candidate list
*6 REACH authorisation list
*7 CLP
*8 POPs Regulation 2019
*9 Food Contact Material Directive
*10 Toys Directive
*11 Cosmetics Directive
*12 RoSH Directive
*13 Fertilizer Act
*14 Soil Quality Decree; Soil Quality Regulation
3.4.3 Step 2.3 Will the ZZS-containing material be traceable so that the ZZS can be removed at a later stage?

To establish the score with respect to Step 2.3, two main questions have to be answered and three additional questions.

Main questions:
1. Is there a legally-required classification or labelling of the products/objects/materials made from the ZZS-containing material? As a rule of thumb, classification and labelling based on hazardous properties meets this criterion, on the condition that it is relevant for the intended (new) application.

2. Is there a recovery system, so that it is ensured that the products/objects made from the ZZS-containing material are returned to recyclers? As a rule of thumb, an isolated and recognised waste stream or the possibility for separation (mechanically or chemically) fulfils this criterion.

Additional questions:
1. Does the volume of the ZZS-containing material remain the same during its use in the intended application (i.e. there is no increase or decrease in volume during its lifetime)? If there is a decrease in stream volume (e.g. caused by (bio)degradation), the ZZS concentration could increase if the ZZS is not lost or degraded in that stream. If there is an increase in volume and this is caused by better waste treatment (not to dilute), then this can be considered acceptable. If it is the consequence of mixing waste then this is considered unacceptable.

2. Are the products/objects/materials produced from the ZZS-containing material exclusively used for industrial and/or professional applications (i.e. it is not intended for the general public = consumer use)? If the products or materials are used by the general public, it will be more difficult to trace sufficiently and properly manage ZZS-containing waste.

3. Is it possible to monitor the ZZS-containing products during the next life cycle and in the next waste phase? If the ZZS-containing products or waste cross the border, this is not the case. If the number of applications is limited or it concerns large-scale use the waste can be collected more effectively after use, the ZZS will be better traceable than if this is not the case.

If both essential questions are answered with 'yes', as well as one of the additional questions, Block 2.3 will be scored ‘green’. If one of the essential questions is answered with 'yes' and at least one of the additional questions with 'yes', Block 2.3 will be scored 'orange'. If both essential questions or all the additional questions are answered with a 'no', then Block 2.3 will be scored 'red'.

If relevant limit values are not exceeded (see Block 2.1), but ZZS concentrations are less than a factor of 10 below the relevant concentration limit values, the following scores will be applied for Block 2.3: A 'red' score is applied in the event of wide-dispersive use, indicating that ZZS are still present in certain material cycles and are not traceable, e.g. in generic plastic packaging recyclate. A ‘green’ score is applied if there is no wide dispersive use.
If at least two of the aspects (2.1, 2.2 [if relevant], or 2.3) score ‘red’, this means that the risks are not adequately controlled. Therefore, the outcome will be: “Removal is not feasible and there is a concern for the continued presence of ZZS in the material system in its new application”. If no ‘red’ scores are provided, and only ‘green’ or ‘green and orange’ scores are applicable, this means that the risks can be considered controlled. In this case the outcome will be: “There is no concern for the continued presence of ZZS in the system in its new application”. If one of the aspects scores ‘red’ or all aspects score ‘orange’, this indicates that the risks are not adequately controlled or that there are too many data gaps to exclude risks sufficiently. In this case the outcome of the risk analysis can either be: “Removal is not feasible and there is a concern for the continued use of ZZS in the material stream in its current form” or “Not possible to make a reliable assessment based on available data”. In that case, one has to refine the risk analysis. One option is to retrieve more refined information on the fixation of the ZZS to the material (2.2) or its traceability (2.3) in order to demonstrate that safety is guaranteed. The proposed method has been developed to take into consideration case-specific controlled conditions to prevent exposure. However, it is also possible to adapt the scope of the risk analysis by selecting other applications which have less critical limit values, for instance by excluding uses that lead to consumer exposure.

One can only proceed with Step 5, when the conclusion is that the risks for human health and the environment are considered sufficiently controlled.

### 3.5 Step 5 Sustainability and Circularity Analysis

#### Sustainability analysis

The premise for recycling is that it will reduce the use of virgin materials and thereby reduce environmental impact. In the sustainability analysis step this premise is tested and the degree of benefit is estimated in comparison to a baseline scenario. The analysis includes effects on the environment in the long run, such as climate change or loss in ecosystem services.

The environmental impact will be estimated for the various disposal and recycling scenarios with a screening level assessment. This assessment provides an alternative to elaborate life cycle assessment studies, by focussing on differences in alternative recycling scenarios and efficient indicators of environmental impact. A full life cycle assessment would then only be needed in a higher-tier analysis.

First of all, the key differences in the life cycle of a recycling scenario are identified, compared to the baseline ‘business as usual’ scenario (see Figure 3.4). This leads to a manageable data requirement. For example, in the case of using End-Of-Life Tyre (ELT) granules as infill material in artificial football pitches (see Section 4.2), the assessment is limited to the impact of the raw material that would be used instead of ELT granules and the impact of producing rubber granules from ELT. The other life cycle stages (use and end of life) are...
similar in both scenarios and therefore do not need to be included in the assessment.

Second, practical indicators of environmental impact were found in energy and land use (Quik et al., 2019). Several key studies show that energy indicators and land use indicators together show a good correlation with climate change and environmental impact (Huijbregts et al., 2010; Steinmann et al., 2016; Steinmann et al., 2017). These two indicators have a strong relationship with other impacts, such as air quality, eutrophication and biodiversity loss.

The energy indicators are calculated as the cumulative energy demand (CED) and the carbon footprint, assuming that the raw data is available for different materials, production processes, transport methods and energy sources. The total CED (MJ) and carbon footprint (CO2 eq.) per kg or ton of material recovered is calculated by summing up these different processes as part of the baseline scenario. The land use indicators are expressed as the sum of the land surface area required to produce the materials for production of the product for the same functional unit.

![Figure 3.4 Sustainability analysis based on comparing a recycling scenario to a baseline scenario. The material recycled or recovered (cradle) from waste from product A (Recycling scenario) is used to produce product B (gate). In the baseline scenario waste from product A is disposed and production of product B (gate) requires material from another origin.](image-url)
3.5.2 Circularity analysis

Circularity means that recycled material replaces virgin material, and can be recycled again (multi-life cycle application) when it reaches the end of its service-life. These aspects are not covered by the sustainability indicators introduced in the previous section, hence new indicators are introduced for circularity. The energy demand and land use calculations take into account any additional processing or raw materials needed in the various recycling scenarios.

First of all, an assessment of high potential benefit is made by assessing if the recycling option concerns an EU critical resource (European Commission, 2017). Additionally, if the recycling option is intended to use a significant fraction of the market of the waste material, circularity needs to be assessed.

The circularity assessment (Figure 3.5) is based on (i) the recovery efficiency, (ii) the contribution of the recovered resource fraction towards total resource use in an application or material cycle, and (iii) the recyclability of the produced material or product, which is the fraction of the resource to potentially reach the next recycling step. The indicators are normalised to a score between 0 and 1. The assessment should be applied to the different scenarios of waste treatment, e.g. current practice and a (presumed) more circular alternative. After comparing the two scenarios, the relative benefit can be estimated.

Recovery efficiency

The recovery efficiency is based on the recovery of a material from a total residual material flow, e.g. clean polystyrene from building isolation material. Recovery inherently encounters some losses during processing, i.e. some material is not available for a subsequent material cycle. Furthermore, additional raw material might be required for recycling purposes, which may counteract the material circularity
benefits. The indicator, therefore, corrects for auxiliary primary materials required for recycling purposes; calculation details can be found in Quik et al. (2019)).

This approach gives, for example, an 80% recovery efficiency for the recycling of old tyres to rubber granules (RecyBEM and ARN, 2011) caused by inherent losses. This process does not include any auxiliary materials as this is a purely mechanical process and thus $Eff$ equals 0.8. For phosphate recovery from wastewater via struvite, about 23 to 47% of total P can be recovered. However, a significant amount of auxiliary materials are used to precipitate P in the struvite mineral. Thus the efficiency is even lower, resulting in $Efficiency$ between 0.06 and 0.24 (Quik et al., 2019).

**Contribution**

This indicator ($Cont$) quantifies the contribution of the recovered material towards the reduction of raw material use in an application. For instance, if worldwide all the phosphorus was recovered from wastewater, it could still only replace part of the demand for phosphorus. This means that other phosphorus sources are also needed. The contribution indicator is based on the fraction of the total of applied materials in the intended application or materials cycle that is substituted by the recovered resource. This includes other materials required for the system to support the intended function. For example there are enough rubber granules from end of life tires (ELT) to fulfil the demand, but in previous years about 90% of synthetic turf used ELT granules as infill, e.g. $Contribution$ equals 0.9.

**Recyclability**

The recyclability indicator ($Rec$) quantifies the potential for the recovered resource to be recycled or reused again after the current use phase (see Figure 3.5). This consists of two measures:

1. The fraction of material available at the end of the current use phase, after subtracting the losses, e.g. caused by wear and tear.
2. The quality of the recovered materials in combination with its current application compared to the source material. The quality factor is divided into three classes (CE Delft et al., 2017):
   a) The recovery of material at the same functional level as the source of the material flow.
   b) (Target) resource is recovered, but contaminated by non-target materials, or characteristics of the material have deteriorated to such a degree that it cannot again be used to fulfil a comparable function (e.g. rubber granules). This results in a lower-grade material.
   c) Recovered material mixed with non-target materials in such a way that only long term application in another domain is possible, e.g. use as a substitute for building materials or filler material.

Weights given to these classes are 1, 0.5 and 0.25 respectively for each degree (CE Delft et al., 2017). Furthermore, it is advisable to use a quality classification factor of 0 when the material can no longer be used and is land filled or incinerated.
**Overall score**
Although the three indicators can be aggregated by calculating an average, or by multiplication, it is preferable to discuss them separately in order to pay attention to these individual aspects of circularity. If any of these indicators is low or 0, the loop is not closed. For example, if the recovery efficiency and recyclability are both high, but the material flow is very small compared to demand, the overall material flow for that material shows low circularity. If recyclability is low, the material loop is not closed because a lot of material is lost in the use phase. If recovery efficiency is low, a lot of material is wasted or ends up in another material loop.

### 3.6 Step 6 Comparison of treatment options

The last step of the assessment is a qualitative comparison based on the collected information, integrating the safety and sustainability aspects of the waste treatment options. Many factors influence this integral assessment including socio-economic costs and benefits, technical feasibility, safety and sustainability. The goal of the qualitative comparison is to help companies and policy makers to make informed decisions on the most favourable treatment options for specific cases. These waste treatment options can be compared, as shown in Figure 3.4.

In Figure 3.6 the quadrant figure on safety and sustainability shows a generalised scheme for maximising the sustainability returns of recycling. The higher the expected sustainability benefits (in terms of reduced environmental and climate impact, the more resources are available (both technically and economically) for measures to prevent the potential negative effects of SoC. The overall goal of the assessment is to maximise the environmental and climate performance of the waste treatment and minimise risks presented by SoC.

We can distinguish four main situations of recycling of existing waste streams in Figure 3.6:

- Recycling may be advised against when health or environmental risks of SoC are expected, available technology cannot prevent those risks sufficiently, and sustainability benefits of the envisaged recycling are relatively low (**lower right corner**).
- If risks are insufficiently controlled but the sustainability benefit is also substantial, it should be considered whether it’s technically and economically feasible to mitigate these risks (sufficient risk reduction), for example, by the removal of SoC (**upper right corner**). High sustainability benefits are a strong driver for technological innovation on SoC removal.
- When risks are controlled but recycling is hampered by the presence of SoC (caused by material quality loss and/or poor market acceptance), upstream or downstream methods should be considered for enhancing the quality together with enhancing the sustainability benefits of recycling (**lower left corner**).
- In the ideal case, risks are negligible and the maximum benefit of recycling is achieved (**upper left corner**).
For waste streams containing SoC, options can be explored to improve the quality of the waste stream, such that the risks of SoC for all different waste treatment options (for recycling options, but also for a baseline scenario: incineration, landfilling or any other standard practice) are controlled. At the same time, the required energy demands and contribution to circularity of the additional measures are assessed. By comparing this to a baseline scenario the overall sustainability benefit can then be reviewed. Treatment options leading to the lowest concentrations and controlled risks, together with the highest sustainability benefit will be the preferred option.

Figure 3.6 Optimising recycling by increasing sustainability benefits while controlling and minimising risks for human health and the environment
4 Examples

This chapter presents two case studies to demonstrate the results of the assessment method described here: for HBCDD in expanded polystyrene boards and for rubber granules infill for use on synthetic turf. These case studies originate from Quik et al. (2019).

4.1 HBCDD in expanded polystyrene boards

Until 2015 the brominated flame-retardant HBCDD was commonly used in EPS foam boards applied for e.g. building isolation purposes. It is a substance of very high concern (SVHC) under the EU REACH Regulation and was listed as a persistent organic pollutant (POP) in 2015 under the UNEP Stockholm Convention. Because its content in EPS for isolation purposes is above the POP threshold of 0.01%, EPS containing HBCDD that is discarded as waste has to be destroyed or irreversibly transformed. Incineration of the massive quantities of isolation-EPS that are being, and going to be, released (for the coming 50 to 100 years) from building renovation and demolition represents a challenge because of the sheer volume of the waste stream and the operational difficulties in incineration plants. As a solution to this problem, industry has developed a recycling technique that extracts HBCDD from EPS called the PS Loop process, a process which recovers the EPS polymer leading to HBCDD-concentrations below 0.01%, while destroying the HBCDD and recovering bromine (Van Dijk and Reichenecker, 2019). This EPS is then sufficiently free of HBCDD.

4.1.1 Scenario

In the baseline scenario (right hand side Figure 4.1), energy is recovered from the incineration of EPS and additional virgin PS is required for the production of new EPS for use in the second life cycle. In the recycling scenario (left side Figure 4.1), energy is used for the processing of EPS using the PS loop process, which includes the recovery of bromine. Further use in the second life cycle is assumed to be equal for both scenarios, hence ‘use’ and ‘grave’ life phases are crossed out in Figure 4.1. The carbon footprint of the recycling scenario is increased by the amount of energy otherwise recovered by incineration in the baseline scenario, based on applying the ‘system expansion’ method (Finnveden, 1999). This also applies to the recovered Bromine and recycled EPS. For instance, the carbon footprint of the baseline scenario is increased by the carbon footprint of production of virgin PS.

Land use is considered relevant when a product or material in one of the considered scenarios comes from land-based mining, agriculture or forestry. This is not the case for bromine or virgin PS in this assessment.
Figure 4.1 Overview of EPS recycling and the baseline incineration scenario (Quik et al, 2019). Information on SoC and waste treatment options (Figure 2.2: Steps 1 and 2). Crossed boxes mean that this part of the life cycle can be ignored as they are the same in both scenarios.

4.1.2 Information on SoC and waste treatment options (Steps 1 and 2).
General information on the HBCDD content in EPS is available from UNEP. EPS used in the building sector contains HBCDD in percentages of 0.8 to 2.5% (UNEP, 2011). Occasionally, HBCDD has been used in EPS for consumer products, such as beanbags and for packaging material. Information on the recycling option studied here is primarily taken from publications of the PolyStyrene Loop project.

4.1.3 Threshold values for SoC (Steps 3 and 4)
Under the Stockholm Convention 2004 and, as indicated in the revised POP-regulation,15 HBCDD is restricted to levels below 100 mg/kg (0.01%) in materials, mixtures or objects. This threshold triggers specific obligations for the destruction of HBCDD. In this case it means that HBCDD needs to be removed from the EPS stream with regular HBCDD levels to allow for recycling. This follows the approach detailed in

15 REGULATION (EU) 2019/1021 on POP
Block 1 of the risk analysis step (Section 3.4, Figure 4.2) and covers the rationale for allowing this scenario under the Basel Convention\textsuperscript{16} which indicates which treatments are allowed.

![Figure 4.2 Basic setup of Tier 2 of the SoC risk analysis module (ZZS is the abbreviation for the SoC list of RIVM [figure adapted from Zweers et al., 2018]).]

**Risk Analysis, Block 1: Is removal of SoC feasible?**

The risk analysis of the SSML framework, starting with Block 1 (Figure 4.1), leads to the following considerations.

1.) **Are there any methods available for removing SoC from the material flow?**

   The HBCDD content can adequately be removed by the PS Loop method, which results in recovery of the EPS polymer and of bromine. This process meets the threshold of HBCDD in EPS. Applying this method results in an HBCDD concentration in recovered polymer of below 100 mg/kg (0.01%), which is considered acceptable for reuse. The answer to question 1 is thus ‘yes’.

2.) **Are these measures technically feasible?**

   Test data on the PS Loop technique - applied on lab and pilot plant scale - are documented in the scientific literature. These data show that the resulting EPS has HBCDD levels below the set 100 mg/kg (Tange et al., 2016). However, this has not yet been tested in a larger scale treatment plant, but is planned for 2021 when the plant becomes operational. The answer to Question 2 in Block 1 is thus ‘yes’, with some residual uncertainty related to the upscaling of the method.

3.) **Is the removal of SoC economically feasible?**

   The economic feasibility of the PS Loop process depends on the energy and transport costs involved, the market prices of virgin EPS and bromine, and demand for the service. With regard to the decision to build a commercial-scale PS Loop plant in the Netherlands (expected to be operational in 2021), the industry considers the process economically viable. The answer to

\textsuperscript{16} \url{www.basel.int}
Question 3 is thus a tentative ‘yes’. A more detailed framework for economic feasibility is given in CLEAR (de Blaey et al., 2019).

If the outcome of Block 1 is that removal is achievable, it can be concluded that the PS Loop recycling removes the HBCDD concerned (cf. Figure 4.2). Then Block 2 of the analysis is not applicable.

4.1.4  
**Sustainability and circularity (Steps 5 and 6)**

The sustainability assessment encompasses an analysis of energy consumption (cumulative energy demand) and material circularity.

*i) Is the functionality of the new product different from the product it replaces?*

It is assumed that there is no difference in the functionality of the EPS produced in the recycling or baseline scenarios (Figure 4.1), so the scope of the assessment can be limited. The difference in the recycling processes is taken into account in this assessment.

*ii) Which materials and processes are required for each scenario?*

For the recycling scenario, recovered PS and bromine feed back into the production of new EPS and bromine compounds. In the baseline scenario, virgin EPS and bromine is required to produce the same compound. The energy required in the recycling scenario includes processes such as transport and processing of EPS using the PS loops process. In the baseline scenario, energy is similarly required for the transport of EPS waste material and the processes of producing virgin EPS. Additionally, energy is recovered from incineration of EPS waste.

*iii) What is the cumulative energy demand or carbon footprint?*

Data were used from an existing LCA study in which the cumulative energy demand (CED values) for both scenarios were reported (TUV Rheinland and BASF, 2018). For the PS loop process (recycling scenario), the CED for 1 kg of recovered polystyrene (PS) was calculated to be 65 MJ per kg, based on the reported recovery efficiency of 0.85. For the baseline scenario (incineration) the CED per kg polystyrene was 96 MJ. The same order of magnitude of CED or CO₂ footprint was found from an alternative source, during reporting for the production of virgin EPS foam slabs: 107 MJ per kg (RVO, 2018). The benefit of energy recovery (electricity and steam) after the incineration of EPS in the baseline scenario was approximately 31 MJ per kg PS (TUV Rheinland and BASF, 2018). This benefit is included in the overall CED (96 MJ per kg EPS), see Figure 4.3.

*iv) Compare the different scenarios.*

Because an existing LCA study was used as basis for this comparison, only converting the data to the required functional unit was required, in this case: per kg EPS produced. Furthermore, the benefits in the baselines scenario originating from energy recovery due to incineration are made explicit. If it is considered that incineration always remains a possibility, also in the EPS recycling scenario, the benefit from the baseline scenario becomes less relevant. Additionally there is only limited incineration capacity, which in some cases would mean that energy recovery could be excluded from the comparison.
The EPS recycling scenario has a lower CED (Figure 4.3) compared to the baseline scenario, so a significant net CED benefit from PS loop recycling is observed.

![Cumulative Energy Demand (CED) in MJ per kg product EPS produced in the recycling and baseline scenario, explicitly showing the benefit of energy recovery in the baseline scenario.](image)

**Circularity**
Tier 0 of the assessment already indicated that an increase in circularity was expected. No further assessment of the recycling scenario was conducted in this case because of the obvious advantages of recycling the HBCDD-containing EPS waste over disposing of it.

4.1.5 **Conclusions**
Recycling of the HBCDD-containing EPS results in production of secondary EPS containing HBCDD values below the legal limit value of 100 mg HBCDD per kg PS, due to the PS loops process. Recycling of HBCDD-containing EPS results in reduced environmental impact compared to the baseline scenario based on cumulative energy demand.

4.2 **Rubber granules used as infill material**
Rubber granules can be used as infill material for synthetic turf pitches for sport purposes. This rubber infill can be manufactured from end of life tyres (ELT) which are in high supply in the EU. In recent years, many synthetic turf pitches have been installed for sports purposes in the Netherlands. In the majority of these pitches, rubber granules have been used as infill material, which is mainly produced from end-of-life tyres (ELT). In the Netherlands in 2017, 8.7 million tyres were collected (of which 0.3 million came from abroad); equal to approximately 70,000 tonnes per year. Of these collected tyres, 34% are reused as tyres, 61% are recycled into a different product, such as rubber granules (material recycling), and 5% are used for the regeneration of energy (RecyBEM, 2018). In the material recycling process, tyres are shredded first and, if
necessary, the size is further reduced using a cutting mill. During this process, metal pieces are removed using a magnet and textile fibres are filtered off by suction. In this way, rubber granules of various grain sizes, or rubber powders, are produced. These particles can be used to develop ‘new’ products, such as infill material for synthetic turf or moulded articles such as shock absorption tiles. Such applications of ELT reduce the amount of rubber tyre waste that otherwise would need to be disposed of (e.g. by incineration) and saves virgin materials for these articles.

Chemicals contained in ELT granules (such as zinc, cobalt, copper and polycyclic aromatic hydrocarbons) raise a concern for human health and the environment. Several risk assessment studies have been conducted to estimate the risks of human exposure, and the environmental risk of substances leaching into soil, groundwater, surface water and sediment, e.g. Oomen and de Groot (2017), Verschoor et al. (2018). These studies were triggered by the fact that rubber granules were being used in open applications, even though it was known that it contained several priority substances or other substances of concern. For this reason the rubber infill case is used to illustrate the combined safety and sustainability analysis.

4.2.1 Scenario
In the recycling scenario (left side Figure 4.4), ELT rubber is granulated and used as infill in synthetic turf pitches. The baseline scenario is the use of another plastic infill material of virgin source: polyethylene (PE). Please note that this only represents a part of the full picture, because there are several other materials, not considered here, that could also be used as infill (Pleizier, 2017), e.g. EPDM (ethylene propylene diene monomer), cork and TPE-granules. The baseline scenario includes the alternative end of life treatment of ELT granules (incineration) and the application of virgin PE (Baseline 1) or recycled PE (Baseline 2) infill (see Figure 4.4). In the scenario comparison, energy recovery is neglected because, after application as synthetic turf, incineration is still a likely scenario. This is a simplification as, in reality, incineration capacity is limited and potential alternatives also exist (e.g. use in floor mats, pyrolysis, landfilling).
The following assessment is based on the use of a hypothetical alternative primary material (PE) and is intended to be an illustration to compare the use of a secondary material with the use of a primary material.

### 4.2.2 Information on SoC and waste treatment options Steps 1 and 2)

For the polyethylene (PE) option the required data was taken from Pleizier (2017). This is a simplification, as in reality alternatives exist for incineration (e.g. use in floor mats, pyrolysis, landfilling). For the ELT option, the required data were taken from several existing studies, e.g. (Oomen and de Groot, 2017), (Verschoor et al., 2018). Sufficient information is available on both the SoC content (Step 1) and knowledge about waste treatment and the applications of recovered materials (Step 2). These recent studies confirm that the chemicals contained in ELT granules (such as zinc, cobalt, copper and polycyclic aromatic
hydrocarbons) cause a concern for human health and the environment if the granules are applied as infill in synthetic turf pitches.

4.2.3 SoC (Figure 2.2: Steps 3 and 4)
Given the second life application of ELT in the form of granules on synthetic turf pitches, it is important to explore whether (additional) use-specific limit values occur. Human and environmental exposure can be considered more critical for ELT granules compared to rubber tyres in the original application. This is because ELT granules have a higher surface-to-volume ratio and because human (skin) exposure occurs more directly and more frequently, which favours a more detailed risk analysis. Concern has risen about the content of carcinogenic PAHs in ELT granules. In studies conducted by RIVM and ECHA, the safe application as infill on soccer fields has been assessed (ECHA, 2016), (Oomen and de Groot, 2017) and (ECHA, 2017). Although the identified SoC do not exceed the previously-established trigger value of 0.1% w/w, some soil quality standards are exceeded, which triggers a detailed risk analysis to establish whether the preservation of the SoC in the system is acceptable (Quik et al., 2019). Another concern is that for some specific PAHs (e.g. benzo(a)pyrene) a lower concentration limit value of 0.01% w/w is applicable, as specified in Annex VI of the CLP regulation.

Block 1: Are there any measures for removing SoC from the material flow?
There are no commercially-viable techniques available that can remove SoC from ELT granules, probably because of the many different SoC present in the material.

Block 2: Risk analysis: Is the preservation of the SoC in the system acceptable in terms of risk?
Are limit values applicable for the SoC given the foreseen use and are these limit values exceeded?
Limit values are applicable to the SoC given the foreseen use, but risks could not be ruled out in the first tiers of the SSML framework. Thus, higher tiers need to be used.

Are SoC fixed in the material?
It was shown that conditions during the life cycle result in the weathering and degradation of ELT granules. This indicates a potential concern for leaching of SoC from the granules. Moreover, it was shown that SoC (including PAHs, phthalates, PCBs, cadmium and cobalt) leaches from ELT granules exceeding the emission limit values from the Netherlands’ Soil Quality Decree (Verschoor et al., 2018). This indicates a potential relevant (indirect) exposure of man and environment to SoC.

Will the SoC-containing material be traceable so that the SoC content will be acknowledged in the next waste stage, and the SoC can be removed or destroyed at a later stage?
During use of the ELT infill, there is a significant loss of granules to the environment. This is estimated to be about 280-460 kg/year per soccer field (Weijer et al., 2017). Once spread into surrounding soils and ditches, it is very difficult to recover ELT granules. Although a large
fraction of infill remains in the turf that can potentially be recovered, the fraction lost leads to environmental concern.

**Higher tier studies**

A human health impact study showed that the risk for humans is virtually negligible (Oomen and de Groot, 2017). Several more detailed environmental studies on ELT granules have been conducted in the Netherlands: ageing of rubber infill in relation to the leaching of zinc, adsorption of zinc into the typical sublayers beneath the synthetic turf, monitoring of SoC in drainage water, soil next to fields, ditches, groundwater, technical sublayers, and bioassays with drainage water, soil and sediments (Hofstra, 2008), (Hofstra, 2009; Zwerus, 2012), (Hofstra, 2013), (de Groot et al., 2017), (Pochron et al., 2017), (Verschoor et al., 2018).

Significantly higher cadmium, cobalt and PAH concentrations were measured near synthetic turf fields in the Netherlands where ELT rubber granules were applied. Substances like zinc and mineral oil were of particular concern. Concentrations of cobalt exceeded environmental quality standards in soil and in the technical layers beneath the synthetic turf (Weijer et al., 2017). In bioassays with drainage water, significantly higher pyrene concentrations were observed. Bioassays showed a negative response when exposed to drainage water. On some sites, the sediment of surrounding ditches was contaminated, which led to adverse effects on Hyalella and Chironymus (Postma and van der Oost, 2018).

Overall, these studies indicate that the rubber granules can only be used in an environmentally safe way when accompanied by (site-specific) mitigation measures that prevent the distribution of granules and the distribution of leached zinc, cobalt and mineral oil into aquatic systems.

In conclusion, it is unlikely that further spread and exposure to SoC in rubber granules will be prevented or controlled. It must be concluded, therefore, that the environmental risks are not considered acceptable. This case illustrates the complexity of making an assessment of a material that contains many different SoC.

4.2.4 **Sustainability (Steps 5 and 6)**

The sustainability assessment includes an analysis of the reduction in environmental impact based on the carbon footprint and assessment of the material circularity. First the scope and aim of such an assessment is set, which can be done based on the following questions.

i) **Is the functionality of the new product different from the product it replaces?**

It was assumed that there is no difference in the functionality of infill made from ELT granules or PE. Therefore, it is assumed that the use and grave stages are similar. A cradle (resource mining) to gate (after production, leaving the factory) perspective can be used to determine the scope of the assessment. The functional unit applied is 1 kg infill being produced.
ii) Which materials and processes are required for each scenario?
In the recycling scenario the process of shredding and separation of rubber from other materials in ELT is included. In the two baseline scenarios the production of virgin and recycled PE are included.

iii) What is the cumulative energy demand or carbon footprint?
The results are reported as carbon footprints in CO₂ equivalents. Estimations were not available for the process of 'shredding and separating of ELT'. Instead a generic value for the process of recycling polymer material is applied. For the process of recycling polymer material 0.4 kg CO₂ eq. per kg material (Idemat, 2018) was applied. Carbon footprints of virgin and recycled PE were set at 2 and 0.6 kg CO₂ eq. per kg material, respectively (RVO, 2018).

iv) Compare the different scenarios.
The summation of the different processes and materials for the carbon footprints is presented in Figure 4.5. The analysis resulted in an order of magnitude lower CED (not shown) and CO₂ footprint (Figure 4.5) for the recycling scenario, compared to the first baseline scenario using virgin PE granules. This difference is a lot smaller and probably within the uncertainty of the values (same order of magnitude) when comparing the recycling scenario to the second baseline scenario using recycled PE.

![Figure 4.5 CO₂ footprint for materials used in synthetic turf fields, assessing ELT granules application vs. virgin and recycled PE. The use of PE is a hypothetical use for comparison purposes only.](image)

Circularity
In applying rubber granules as infill, it is implicit that primary material use is reduced by substitution with secondary material use (scrap tyres into rubber granules). Rubber granules is not identified as a critical raw material (CRM) by the EU. As 30-40% of the total supply of ELT rubber material in the Netherlands is needed to fulfill the demand for infill materials on the national market, there is currently no concern in relation to supply of the secondary material source: ELT. Circularity is quantified based on recovery efficiency, contribution and the recyclability of material flow (see Chapter 3).
<table>
<thead>
<tr>
<th>Indicator</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Recovery efficiency</td>
<td>0.8</td>
</tr>
<tr>
<td>Contribution</td>
<td>0.9</td>
</tr>
<tr>
<td>Recyclability</td>
<td>0</td>
</tr>
</tbody>
</table>

It was found that a recycling efficiency of 80% was used in a study by RecyBEM and ARN (2011). The estimate is that, in previous years, about 90% of synthetic turf used ELT granules as infill, i.e. the contribution to overall demand of infill is 0.9. ELT granules used as infill are commonly not recycled a second time for use as infill for a new synthetic turf field after the old field is decommissioned (Pleizier, 2017). Thus, the worst-case scenario is that the recovered granules will be incinerated in cement kilns, yielding an overall recyclability of 0.

The overall assessment of circularity shows that the ELT material loop is not closed because of the lack of recyclability once the field is decommissioned. Also a small fraction of the material will be spread in the direct environment.

**Conclusion**
Assessing the CO₂ footprint results in a benefit when using ELT granules or recycled PE, compared to virgin material. It is likely that this will also hold for other more common rubber-based infill materials, such as EPDM. It is unknown how this would work out for cork as an alternative infill material, because land use would then also need to be included in the assessment. The overall assessment of circularity shows that the ELT material loop is not closed because of the lack of recyclability once the field is decommissioned. Other alternative infill materials may have better recyclability properties, but this still needs to be established.

The SoC module indicated the presence of SoC such as cadmium, cobalt and PAHs in ELT granules. Tier 3 studies showed virtually negligible risks for human exposure, but an unacceptable risk for soil and aquatic ecosystems. Mitigation measures should be considered. It is not clear whether effective mitigation is possible. Since SoC leach from the material and granules that are spread in the environment, a continued exposure of organisms in the environment to these substances is likely, even after replacement of the ELT infill by a cleaner material. This shows that concerns regarding these SoC substances may remain.

**4.3 Overall conclusions for both examples**
The examples demonstrate that the risk analysis is very case dependent. While HBCDD is an acknowledged POP, the environmental and health risks of rubber granules (ELT) only became clear after higher tier studies. The SSML framework is designed to explicitly address sustainability gains versus risks associated with SoC, before deciding on recycling options. In complex cases such as the ELT case, no definitive conclusion could be drawn in lower tiers, but uncertainties were addressed in higher tiers of the assessment. Because this is a partly retrospective study, it still needs to be established whether the SSML method will improve the early identification of SoC risks in recycling. Data availability on SoC content in recycled materials and the CO₂ footprint is critical, because lack of data will often lead to inconclusive results in lower tiers of the SSML assessment. More time consuming
higher tiers are then needed to resolve potential concerns regarding SoC, illustrating some of the difficulties that are encountered when dealing with SoC in recycling.

The benefit of the contribution towards a circular economy can be weighted in recycling options. The example of HBCDD in secondary EPS illustrates that sustainability gains strengthen the conclusion to favour recycling. The results from the sustainability assessment of ELT rubber recycling (Quik et al., 2019) show three areas of potential improvement for ELT granules use as infill in artificial turf. First, the release to the environment needs to be prevented. Second, without a useful recycling option at the end of life of an artificial turf pitch, this application does not result in a closed material loop. Third, there might be better alternative types of infill materials (EPDM, cork and TPE-granules) available. However, the assessment of alternatives falls outside of the current assessment.

The setup of the risk analysis allows for a pilot phase (Tier 3) in which problems, or a particular uncertainty in the risk analysis can be solved, e.g. by chemical analysis to see if specific (migration) limit values are met, see Section 3.4 and Zweers et al. (2018). In that way, the risk analysis can be refined to conclude on the risk. A repetitive approach such as this can be applied within the risk analysis (Step 4) by using a pilot phase or within the sustainability analysis (Step 5).
5 Discussion and recommendations

It is the ambition of the European Commission to make Europe climate neutral by 2050, save valuable resources and move towards toxic-free material cycles and clean recycling ((European Commission, 2020a)). To do so we need tools that can address the risks presented by Substances of Concern (SoC) in recycled materials (European Commission, 2018b),(European Commission, 2018a). A systematic methodology for the integral assessment of recycling options named Safe and Sustainable Material Loops (SSML), was developed and tested on several case studies (Quik et al., 2019), (Zweers et al., 2018; de Blaaij et al., 2019). This report summarises this recent work and shows how integral assessment on safety and sustainability of various waste treatment options can be approached.

In the following Sections, we reflect on various aspects of the integral assessment (Section 5.1), the data needed for these types of assessment (Section 5.2), the new type of chain approach needed (Section 5.3) and whether the method is fit for purpose (Section 5.4). We end with conclusions and recommendations (Section 5.5).

5.1 Positive environmental performance of recycling

The main challenge of the outlined SSML methodology is to develop a way to address the risks presented by SoC in waste treatment within the context of moving towards a sustainable circular economy. If the quality of the regained materials is affected by the presence of SoC (above agreed or legal thresholds), additional risk mitigation methods may be needed to improve the quality of the material. In this way the use potential of the material is improved, but additional resources and energy may be needed, which also comes at an environmental and climate cost.

To illustrate the SSML methodology, two example case studies were performed. The aim was to demonstrate the methodology and not to provide a definitive assessment. The example case studies show that integral assessment of safety and sustainability of different waste treatment options is possible by combining existing tools and (legal) frameworks. This methodology combines sustainability impacts with the assessment of chemical risks along multiple life cycles. It also illustrates that a multi-disciplinary approach can provide insight into the environmental performance of various treatment options. By combining the tools and knowledge already available, the waste sector can make progress towards sustainability and circularity goals. It may also serve to prevent ‘regrettable recycling’, by the early identification of risks caused by SoC in recycled materials.

The technology of extracting HBCDD from EPS contributes to a reduced CO₂ footprint of EPS compared to virgin material. This case study shows that risks from SoC can be reduced and controlled and the CO₂-footprint can be lowered at the same time. The presented methodology can be used to test whether novel recycling methods which reduce SoC content,
also lead to such a positive environmental performance. A positive environmental performance compared to the use of virgin resources can be (partially) used as a reservoir of capital to fund risk control measures that prevent risks to human health and the environment, while optimising recyclability. The example also shows that the application of methods to recycling that quantify sustainability and environmental benefits, based on life cycle analysis or novel hybrid forms (such as the SSML methodology), is not yet standardised and requires further development (Fantke et al., 2020).

The End of Life Tyres (ELT) example shows a conflict between risks and potential benefits. Resources can be saved when using rubber granules from End of Life Tyres (ELT) as infill in artificial turf pitches instead of virgin material, but this use of ELT has led to several environmental problems. During use, infill losses from the field lead to dispersion of the material that cannot be recycled or recovered for the same use. This in turn leads to the formation of microplastics in the environment (Verschoor and de Valk, 2017). New infill needs to be added to the artificial turf pitches to supplement these losses, indicating that recyclability is relatively low.

In a tiered assessment such as this, we can, in principle, conclude at an early stage that there is no current practical technology to minimise the content of SoC in ELT granules. In this case, an in-depth environmental assessment was triggered by uncertainty about the effects of regulated SoC such as zinc and cobalt. Risk management is required to reduce the leaching of hazardous substances from ELT granules into the soil, such as zinc and cobalt (Verschoor, Bodar, and Baumann 2018). Thus, the environmental problems of ELT granules may not outweigh the benefits of using the recyclate.

It is important to realise that with the current state of knowledge and data availability, a case by case approach is still needed for SoC in recovered material streams that exceed a limit value or when no legal threshold is applicable. A recently developed framework within the regulatory context of REACH provides a specific methodology to support the setting of thresholds for SoC for recycling and to show what impacts (both positive and negative) this has on natural, human, social and economic factors (de Blaeij et al., 2019).

It should also be acknowledged that Life Cycle Assessment (LCA)-based assessment methods for comparing materials with different SoC content are still under development. Dealing with the complexity of the entire life cycle of materials or products and subsequent data needs is a common denominator, leading to tiered approaches (Quik et al., 2019) (Fantke et al., 2020). More work is needed to arrive at a scientific consensus about how to deal with risks caused by SoC in regained materials and maximise sustainability benefits. This should lead to methodology that makes it easier to choose or design waste treatment options and subsequent re-use with the best ‘overall positive environmental and climate performance’.
5.2 Facilitating information exchange in waste streams

In the chemicals strategy for sustainability, the importance of the availability of information on chemical content and on tracking the presence of SoC through the life cycle of materials and products is highlighted. While applying the outlined methodology to the case studies, it was found that such information is often hard to collect but is indeed crucial for informed decision making on alternatives. In addition, information on sustainability and life cycle assessment is also crucial, and suffers from the same problems, which presents a main challenge.

The presented cases show what type of information is required to support decisions for safe and sustainable recycling, based on the general principles of the risk assessment of chemicals. The application of these principles to waste treatment is still challenging because there is insufficient information about SoC in waste streams, recyclate or products made thereof. This is especially the case if recovered materials are used in new applications without case history. The European SCIP database (ECHA, 2020)\(^\text{17}\) will be of help in future studies, but this is only a first step in the information needed for comparisons.

The SSML framework also includes biological risks presented by pathogens and viruses (Quik et al., 2019) but these are not addressed any further in this report. Data on these aspects is not only relevant for organic resources, but may also be applicable to (temporarily) stored feedstock. Information on waste handling, and on storing and processing technologies, is also required to understand the potential for risk mitigation or clean-up technologies, for the wider range of chemical, physical or biological risks.

Next to information on human health risks, ecotoxicological risks and the environmental fate of chemicals, information is needed on sustainability aspects such as energy and land use. The sustainability and circularity estimates of the SSML framework are designed to be easier to apply than fully-fledged LCA studies, but still require certain essential data.

Ideally, existing initiatives on data sharing about chemicals and material streams are comprehensive and contain the above-mentioned information, as much as possible. Initiatives such as the ECHA SCIP database (ECHA, 2020) and the product passports should have their definitions and units harmonised, e.g., using FAIR data formats (European Commission, 2018c). Such formats could then be used to make this data available in a regulatory context.

To give an example, the EU Waste Framework Directive sets goals for both public and private actors to implement waste management systems (European Commission, 2008). These can be extended with information on SoC and sustainability aspects (such as [general] energy consumption, land use per kg of produced material or production location). In the Netherlands an inventory was made of SoC in 85 waste categories that should be considered in case of recycling (Hofstra, 2019); a starting point for the inclusion of SoC in sectoral waste treatment plans. Sharing such information could be stimulated within

\(^\text{17}\) https://echa.europa.eu/scip
the framework of establishing or revisiting sectoral waste treatment plans, extending producer responsibility schemes, etc.

Ideally, information in the recycling supply chain should be available on:

- the type of waste that is handled
- the processing that takes place
- the intended applications in the next life-cycle
- knowledge on the (potential) SoC content in the waste stream
- and sustainability aspects (such as [general] energy consumption, land use per kg produced or recovered material etc.)

5.3 Supply chain cooperation to achieve sustainable non-toxic cycles

A push forward is necessary to facilitate safe and sustainable material cycles. The outlined methodology is still under development, and needs the active participation of circular supply chains in order to succeed. More specifically, to allow for safe and sustainable waste treatment, preferably before choices on alternatives are made, additional effort needs to be invested in obtaining chemical and sustainability data from the supply chain. This may be achieved by extended producer responsibility or, perhaps more appropriate in the context of the circular economy, ‘extended chain responsibility’.

The starting point of extended chain responsibility is the existence, transparency and shared responsibility of the required information throughout the circular chain. In such a system, the producers of the waste stream and the recyclers share joint responsibility for the contribution of waste or recovered materials to a circular economy for the entire recycling supply chain. Proposals have already been made by the European Commission for extended responsibility of this sort in the revised Waste Framework Directive. Although responsibilities for the fate and handling of waste streams are complicated and still difficult to foresee by producers of chemicals, mixtures or products (Bodar et al., 2018), the ambition for non-toxic material cycles will stimulate supply chains to control the quality with respect to SoC and thereby contribute to a circular economy. A practical implementation of the proposed methodology can provide actors in the supply chain with the means to generate the necessary information for assessing their own innovations towards sustainable, non-toxic cycles.

5.4 Towards more generic approaches

The integral approach presented here, is worked out in more detail in several reports (Quik et al. (2019), Zweers et al., (2018), de Blaeij et al (2019), Lijzen et al. (2019),Quik et al. (2020)). However, it still needs to be applied to more real-case scenarios where recycling may be hampered by potential environmental or health risks. It is necessary to learn from each of the specific cases so that benchmarks for sustainability and circularity can be developed for the various (waste) sectors. Future applications of the SSML-framework should further increase knowledge on sector-specific impact ‘hotspots’, for example, the carbon footprint of fossil-based industries, and land use for bio based materials (Broeren, 2017).
The scope of the integrated approach, of course, includes SoC, in the Netherlands more specifically defined as ZZS. For alternative waste treatment options the scope should be widened as proposed in Quik et al. (2019) to also include biological risks, other regulated substances, pharmaceuticals, pesticides and other hazards such as radiation.

The presented approach did not show how, in a circular economy, we should deal with cases where multiple chemicals of concern are present in a material stream. Existing risk assessment methodologies could also be adapted to deal with multiple SoC (Posthuma et al., 2019). Currently, there is no univocal approach to assess the risk of multiple SoC in a recovered material. General chemical risk assessment typically addresses one substance or a group of similar substances, while this may well not be representative in practice (e.g. a combination of metals). Risk assessment (Drakvik et al., 2020) as well as LCA-based methodologies exist to calculate the additivity of several harmful compounds (Van de Meent and Huijbregts (2004), European Commission (2021)). The transition to a circular economy, closing more and more material loops, makes this issue even more urgent. The next development of the outlined methodology should also include the assessment of multiple substances.

5.5 Conclusions and recommendations

We propose easy-to-apply methods for conducting integrated assessments of sustainability benefits, and human and environmental safety, to support a circular economy. These methods, which can be developed further, can help actors in supply chains to make informed choices on safety and sustainability for different waste treatment scenarios. This will contribute to the aim of extracting the maximum value and use from waste and raw materials, or products made from it, and thereby saving energy and reducing greenhouse gas emissions.

To further develop the proposed approach towards a general framework, several improvements should be made to the methodology and data availability. To this end the following recommendations should be addressed to overcome some methodological and practical hindrances, focussing on the method, data and practical use:

- Taking the proposed methods as a starting point, appropriate circularity indicators and simple sustainability assessment methods have to be further developed based on a lifecycle perspective.
- To be able to assess many types of waste, the scope should also include biological risks and other contaminations, such as pharmaceuticals and pesticides, in addition to SoC (in particular ZZS).
- In the assessment of material waste streams, a grouping approach should be encouraged to assess multiple substances or contaminants of concern to go from case by case assessments to generic screening approaches that are needed in the mixed and cross-linked value chains that typify a circular economy.
- Newly developed databases and plans (e.g. SCIP-database, sectoral waste treatment plans) should be extended to fit data requirements needed for an integral approach, for example, on concentrations and present compounds, and on critical levels.
This should not only include data on full material identity (mixtures), but also the foreseen processing and applications, and information on energy demands, CO$_2$ emissions, land use and raw material use.

- When concentration thresholds and safety levels are missing, these should be derived or methodologies should be developed to derive these values.
- Participation and cooperation of multiple actors within the supply chain is essential for a good case study. Stakeholders in the supply chain should be stimulated to apply the proposed methodology and to share the essential data within the supply chain.

The generic lessons from applying the presented approach in more case studies will strengthen the methodology and provide insight into how to improve information exchange, how to foster extended chain responsibility and how to map value-chain and sector-specific sustainability hotspots. Priority should be given to case studies with residual flows that are currently incinerated or landfilled and that make a relatively large contribution to the total waste flow or are known to originate from energy intensive production processes.

For stimulating alternative recycling options, a system should be applied in which the benefits of a positive environmental and climate performance can be (partly) reinvested in better safe and sustainable prevention and recycling of residual flows, leading to recovered materials with reduced risks and more use potential.

The framework will support actors in the circular value chains and policy decision-makers by providing structure and overview. Regrettable recycling can be prevented, valuable resources can be kept safely in the loop, and potential trade-offs be made visible, as the approach addresses multiple policy targets of the Circular Economy Action Plan, the European Green Deal and the Chemicals Strategy for Sustainability.
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